



UNITED STATES  
**SECURITIES AND EXCHANGE COMMISSION**  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

July 25, 2019

Mr. Taylor Amarel  
MuckRock News DEPT MR 76552  
411A Highland, Ave.  
Somerville, MA 02144

RE: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **19-02665-FOIA**

Dear Mr. Amarel:

This letter addresses the following request for records:

**19-02665-FOIA** submitted on July 23, 2019 for all email records sent to, from, or copied to WhartonN@sec.gov containing any of the following non-case-sensitive keystring "baidu," "resign," and/or "10MB" from January 1, 2015 to June 1, 2015.

I have determined that this request is for records related to tips, complaints, and/or referrals submitted by you to the SEC. I am aggregating this request with your pending complex track request, 18-00004-FIFO. Please be advised that any request you currently have pending may also be subject to aggregation, as well as any request you file after this date.

For purposes of determining fees, you are considered an "Other Use" requester. As such, you are entitled to two (2) hours of search time and 100 pages of duplication free of charge. Once these thresholds are met you will be required to pay any remaining search and duplication fees. Because you have failed to make payments in the past, the Office of FOIA Services may require that you make advance payments for processing.

I am the deciding official with regard to this determination. You have the right to appeal my decision to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal

must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

If you have any questions, you can contact me at [sifordm@sec.gov](mailto:sifordm@sec.gov) or (202) 551-7201. You may also contact the SEC's FOIA Public Service Center at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. For further assistance you can contact a SEC FOIA Public Liaison by calling (202) 551-7900 or visiting <https://www.sec.gov/oso/help/foia-contact.html>.

SEC FOIA Public Liaisons are supervisory staff within the Office of FOIA Services. They can assist FOIA requesters with general questions or concerns about the SEC's FOIA process or about the processing of their specific request.

Finally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA dispute resolution services it offers. OGIS can be reached at 1-877-684-6448 or via e-mail at [ogis@nara.gov](mailto:ogis@nara.gov). Information concerning services offered by OGIS can be found at their website at [Archives.gov](https://www.archives.gov). Please note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.

Sincerely,



Mark P. Siford  
Counsel to the Director/Chief FOIA Officer  
Office of Support Operations